

CNB FINWIZ PRIVATE LIMITED

CIN: U74899HR2000PTC041149

Regd. Office: Office No. 1, Notting Hills, Opp. Pasco, Old Delhi Road, Sector-18, Gurugram, Haryana, Pin-122001

Phone No. 0124-2344503; website: www.cnbfinwiz.com ; Email id: cs@cnbfinwiz.com

POLICIES REGARDING TREATMENT OF INACTIVE TRADING ACCOUNT

Background

National Stock Exchange vide Circular No. NSE/INSP/13606 dated Dec 03, 2009 and NSE/INSP/14048 dated Feb 03, 2010 directed that a policy be framed by Stock Brokers to deal with inactive trading account.

In addition to above National Stock Exchange vide Circular No. NSE/INSP/43488 dated February 10, 2020 provides guidelines regarding treatment of Inactive account.

Policy

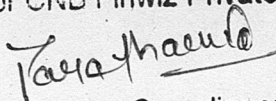
In any trading account(s) in which no trade have been carried out since last 12 (Twelve) Months across all exchanges, the same shall be considered as Inactive Trading Account.

In order to re-active the accounts and further trading by such clients should be allowed only after undertaking due diligence (**including IPV**) and obtaining the updated information related to KYC from the concerned Clients.

All the documents for re-activating the Clients Account(s) duly signed by her/his atleast 2 days in advance and sent the same to the concerned branch or Head office of the Broker. On receipt of the documents the trading account of the client will be reactivated.

For CNB Finwiz Private Limited

For CNB Finwiz Private Limited



Compliance Officer

Paras Sharma

Compliance Officer

Date: 11.02.2020

Place: Gurugram